



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
LISA D. YORK
NEW HILL, NC

VICE CHAIRMAN
BUCK LATTIMORE
RALEIGH, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:
DANIEL H. DEVANE
GARNER, NC

WILLIE A. JOHNSON
GREENSBORO, NC

ALISON H. PANT
RALEIGH, NC

October 28, 2020

VIA ELECTRONIC FACSIMILE
MESSAGE TO (984) 204-8343

The Honorable Larry D. Hall, Secretary
N.C. Department of Military and Veterans Affairs
4001 Mail Service Center
Raleigh, NC 27699-4001

Dear Secretary Hall,

Pursuant to NCGS 85B-2 and S.L. 2020-87, all North Carolina Occupational Licensing Boards are mandated to include in their respective Annual Reports information regarding both military trained license applicants and applicants who are military spouses. That information for the N.C. Auctioneer Licensing Board from fiscal year ending June 30, 2020 is included in the attached Annual Report on page 2, items 9c and 9d.

The law specifically includes that "No later than October 31 of each year, each occupational licensing board or State agency licensing board shall file electronically with the Secretary of the Department of Military and Veterans Affairs information collected pursuant to G.S. 93B-2(a)(9c) and (9d)."

I was unable to find an email address listed for N.C. Department of Military and Veterans Affairs, and no one was available to speak with me when I called. In order to make sure that this report is submitted prior to the deadline, I am sending it electronically via fax.

Please let me know if there are questions or if anything further is needed.

Thank you,

Charles F. Diehl
NCALB Executive Director



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ANNUAL REPORT

Fiscal Year 2019-2020

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2020.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

Chairman: Lisa York
Vice Chairman: Buck Lattimore
Board Members: Willie A. Johnson
Allison Pant
Daniel DeVane

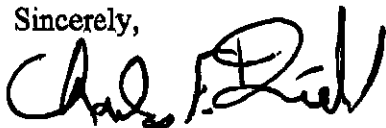
- 1a. The total number of licensees supervised by the Board is 2,350. 1,864 are Auctioneers; 12 are Apprentice Auctioneers; and 474 are Auction Firms.
2. 38 persons applied to the Board for the auctioneer examination during the 2019-2020 fiscal year. 14 persons applied to the Board for the auction firm examination during the 2019-2020 fiscal year.
3. 0 persons were refused the written examination. 1 person withdrew their application.
4. 37 persons took the auctioneer examination during the 2019-2020 fiscal year. 14 persons took the auction firm examination during the 2019-2020 fiscal year.
5. The number to whom initial licenses were issued was 86. 31 auctioneers were issued initial licenses; 3 apprentice auctioneers were issued initial licenses; and 35 auction firms were issued initial licenses. 17 auctioneers were issued initial licenses through reciprocity.

- 5a. 2 persons failed the auctioneer examination once; 3 persons failed the auctioneer examination twice; 0 persons failed the auction firm examination once; and 0 persons failed the auction firm examination twice.
6. 18 persons applied for a license by reciprocity or comity.
7. 17 persons were granted a license by reciprocity or comity.
- 7a. 37 official complaints were received involving licensed and unlicensed activities.
- 7b. 12 disciplinary actions were taken against licensees; 1 non-disciplinary action (letter of caution) was taken against a licensee; and 0 non-disciplinary actions were taken against non-licensees.
8. 0 licenses were revoked; 3 licenses were voluntarily surrendered in lieu of disciplinary action; 6 licenses were suspended; 1 civil penalty was issued to a licensee; and 5 licensees were reprimanded.
9. 2 licenses were terminated due to death, and 2 licenses were permanently retired.
- 9a. 90 persons applied for licensure. Of that number, 86 were granted a license.
- 9b. There were 9 applicants with a conviction record. Of that number, 7 were granted a license. Overall, a total of 3 applicants were denied licenses for any reason. 1 of those 3 was denied a license because of a conviction.
- 9c. There were 0 applications for licensure from military trained applicants. 0 licenses were granted to military trained applicants, and 0 military trained applicants were denied licenses.
- 9d. There were 0 applications for licensure from military spouse applicants. 0 licenses were granted to military spouse applicants, and 0 military spouse applicants were denied licenses.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. As of the effective date of this FYE June 30, 2020 Annual Report there were significant changes in the preceding 12 months to the rules adopted by the North Carolina Auctioneer Licensing Board. Pursuant to mandated periodic review, the rules within 21 NCAC 4B were reviewed, analyzed with the benefit of public comments, and revised with an effective date of July 1, 2020. The rules review process generated: one newly adopted rule (21 NCAC 04B .0203 – EXTENSIONS FOR MEMBERS OF THE ARMED FORCES); reoption of 46 existing rules with substantive and non-substantive changes (21 NCAC 04B .0101-.0104, .0201-.0202, .0301-.0303, .0401-.0405, .0501-.0506, .0601-.0607, .0701, .0801-.0810, and .0812-.0819); and the repeal of one rule

through readoption (21 NCAC 04B .0811 – MINIMUM CLASS SIZE). The rules comprising 21 NCAC 4B, as revised effective July 1, 2020, are attached.

Also attached following the adopted and readopted rules is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12-month period.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles F. Diehl". The signature is written in a cursive, somewhat stylized font.

Charles F. Diehl
Executive Director